Intellectual Property Advocates

RECEIVED

ransPotomac Plaza, 1033 N. Fairfax St., Suite 306, Alexandria, VA 22314

hone: 703-683-3600

Facsimile: 703-683-9875

Email: Adam@mail.ipadvocates.com

April 11, 2002

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Re:

U.S. Patent Application Serial No.: 10/018,576

Filed: February 20, 2002

For: Positive Electrode Active Material For Secondary Battery And

Manufacturing Method Thereof and Nonaqueous Electrolytic Solution Secondary Battery Therewith And Recovered Electronic Functional

Material and Reproduct

Inventors: Sakai et al.

Attorney Docket No.: 0277-0002

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Request for Corrected Official Filing Receipt;
- 2. Copy of Replacement Filing Receipt indicating corrections to be made;
- 3. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Respectfully submitted,

SHANKS & HERBERT

Adam J. Cermak Reg. No. 40,391

West Coast Office

4350 La Jolla Village Drive, Suite 330, San Diego, Ca 92122

Telephone: (858) 597-6688

Facsimile: (858) 597-6682



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sakai et al.

Art Unit: 1745

Appl. No.: 10/018,576

Examiner: To Be Assigned

Filed: February 20, 2002

Atty. Docket: 0277-0002

For: Positive Electrode Active Material for

Secondary Battery and Manufacturing Method Thereof and Nonaqueous Electrolytic Solution Secondary Battery Therewith And Recovered Electrolytic Functional Material and Reproduct

RECEIVEL MAY 0 6 2002 TC 1700

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative.

Specifically, it is noted that the following are incorrect on the present Official Filing Receipt:

The title should read:

Positive Electrode Active Material for Secondary Battery and

Manufacturing Method Thereof and Nonaqueous Electrolytic Solution Secondary Battery Therewith And Recovered Electrolytic

Solution Secondary Battery Therewith And Recovered

Functional Material and Reproduct

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the corrections noted in red.

It is therefore respectfully requested that a corrected Official Filing Receipt is issued and officially entered into the case, which reflects the correction of all the above-noted error. It is further requested that the corrected Official Filing Receipt be sent to the undersigned at the earliest possible time.

Respectfully submitted,

SHANKS & HERBERT

Adam J. Cermak

Reg. No.: 40,391

Date: April 11,2

TransPotomac Plaza 1033 N. Fairfax Street, Suite 306 Alexandria, VA 22314

703-683-3600



United States Patent and Trademark Office

1745

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING DATE GRP ART UNIT FIL FEE REC'D ATTY DOCKET NO DRAWINGS

TOT CLAIMS IND CLAIMS

10/018,576

202/20/2002

1722

0277-0002

8

TransPotomac Plaza 1033 N Fairfax Street Suite 306 Alexandria, VA 22314

RECEIVED SHANKS & HERREDT

CONFIRMATION NO. 5007

Date Mailed: 04/02/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If y u received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ryo Sakai, Yokohama-shi, Kanagawa-ken, JAPAN; Yasuhiro Shirakawa, Yokohama-shi, Kanagawa-ken, JAPAN; Kotaro Iyasu, Fuchu-shi, Tokyo, JAPAN; Nobukazu Suzuki, Ota-ku, Tokyo, JAPAN; Hisayasu Mitsui, Yokohama-shi, Kanagawa-ken, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/04007 06/20/2000

Foreign Applications

JAPAN 11/174758 06/21/1999 JAPAN 11/229162 08/13/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

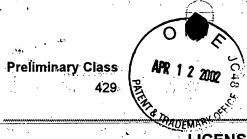
Early Publication Request: No

Title

-Active-material for anode of secondary cell and method for production thereof and non-aqueous - electrolyte-secondary-cell, and recycled electronic functional material and method for recycling eletronic-functional-material

POSITIVE ELECTRODE ACTIVE MATERIAL FOR SECONDARY BAHTERY AND MANUFACTURING METHOD THEREOF and NONSAQUEOUS ELECTROLYTIC SOLUTION SECONDARY BATTERY THEREWITH, AND RECOVERED ELECTRONIC FUNCTIONAL MATERIAL and REPRODUCT.

RECEIVED TC 1700



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

